Smooth Particle Hydrodynamics and Discrete Element Method coupling scheme for the simulation of debris flows $\stackrel{\approx}{\Rightarrow}$

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Abstract

Debris flows have been widely researched during the last decades since they are catastrophic events with significant infrastructure and environmental impacts. Typically, they are composed of various materials which interactions are worth for studying, to improve the prediction of some variables, such as velocities, forces and affected areas. Constitutive models and numerical methods are fundamental in broadening the knowledge of the behaviour of these phenomena. Thus, the coupling of numerical techniques, for the different constituents of debris flow is becoming indispensable to describe the behaviour of these natural events. The coupling of Smooth Particle Hydrodynamics (SPH) and Discrete Element Method (DEM) is presented in this paper to show the capacity to represent the interaction of several materials at the same time. SPH is employed to represent the fluid and soil by using different constitutive models from a continuum approach. In contrast, DEM is used to represent immersed objects such as boulders and boundary conditions. In this sense, we can couple the behaviour that occurs at very different scales in a unified framework suitable

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 $^{{}^{\}bigstar} \mathrm{The}$ code is open source and is available on Mechsys.

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to describe heterogeneous debris flows. Benchmark cases were solved to validate this new approach. The simulations show good agreement with analytical solutions, experimental results and field data.

Keywords: SPH-DEM coupling, benchmark validation cases, debris flows

1 1. Introduction

It is essential to study the movement of mass which occur on earth surface not only to understand the behaviour of nature but also because they can cause great damage and fatalities [1, 2, 3]. There are many types of mass movements such as landslides, debris flows, mudflows, granular flows, rock falls, avalanches, 5 among others. Usually, they are classified depending on certain characteristics 6 such as kind of materials, velocity and volume [4, 5, 6, 7, 8]. The materials can be fluids (water and air) and solids (soil and wood, for instance). The soil has a wide spectrum because of the mineral composition and size of the particles. Thus, clay or sand, and fine grains or big boulders might change the behaviour of 10 the mass [9, p. 3] completely, affecting the procedure to model such phenomena. 11 Debris flows have special attention in research due to their high potential 12 of damage, provoked by the variability of materials, high velocities and vol-13 umes, which might travel long distances destroying everything on their path 14 [10]. Three branches have appeared in an attempt to improve the models. 15 First, some authors have proposed models to represent the movement of a mass 16 from a continuum approach, assuming shallowness for granular flows such as 17 [11, 12, 13, 14]. Others have proposed mixture models where just a single mo-18 mentum equation contain the stresses terms for two phases (fluid and soil) such 19 as [15, 16, 17]. Finally, [18, 19, 20] have proposed two phases models where a 20 coupling term must be defined. The models mentioned above are postulated in 21 a two-dimensional Eulerian approach. 22

The improvement of the computational resources and numerical methods has allowed increasing the complexity of modelling these phenomena, adding the third dimension or the interaction with obstacles [21], for instance. Because of these reasons, the Lagrangian and meshless approaches have been gaining
importance. Also, these techniques allow handling complex geometries, interaction with several methods and materials in a more natural way. For example,
Smooth Particle Hydrodynamics, SPH henceforth, has been employed to model
many cases in soil mechanics and fluid mechanics [22, 23, 24, 25, 26, 27, 28].

Also, SPH has been coupled with other techniques such as Discrete Element Method, DEM henceforth, to represent the interaction with structures [29, 30, 31]. Although, these two methods were developed to tackle problems at different scales: SPH to represent large scales directly by using constitutive laws, and DEM to obtain the general behaviour through the implementation of interaction laws in a small scale of granular assemblies [32, 33].

Nevertheless, DEM can be used to represent big objects with complex shapes 37 as well, been useful to set up boundary conditions, fluid-structures, fluid-soils 38 and fluid-soil-structures interaction problems. Other methods such as Finite 39 Volumes Method (FVM), Finite Elements Method (FEM), Material Point Method 40 (MPM) and Lattice Boltzmann Method (LBM) have been coupled to DEM 41 to predict the interaction of debris flows with moving and flexible barriers 42 [34, 35, 36]. To model large-deformation problems using mesh-based methods 43 (i.g., FVM, FEM and LBM) requires re-meshing, also meshing areas where there 44 is no flow in a specific time step. Also, LBM is more convenient for problems 45 where there is not a free surface flow. MPM has demonstrated great advan-46 tage in computational cost; however, oscillation in stress calculation is its main 47 disadvantage up to now [37]. It is the purpose of the present work to employ 48 methods that allows us to compute large strain in free-surface problems. 49

Hence, this paper presents a new approach to couple SPH-DEM to model natural processes such as debris flows that might be represented by using two standpoints. On the one hand, SPH is used to describe the fluid and soil phases through the continuum assumption. In contrast, DEM is employed to model big boulder as single objects at the same time that the boundary conditions with the sphero-polyhedra approach as presented in [38, 32].

⁵⁶ The continuous approach is still employed to have good results at the same

time that reasonable computational cost. Besides, discrete elements are employed to avoid the use of extra SPH particles in the boundary conditions or in
moving objects which interact the fluid or soil phases.

This paper is organised as follows: Section 2 shows the SPH method and 60 the constitutive models to represent the soil and the water. Section 3 presents 61 briefly the discrete element method. Section 4 contains the proposed strategy 62 for coupling SPH and DEM. Section 5 presents both benchmark cases to validate 63 our code. The last part of this work is presented in Section 6, which shows a 64 hypothetical case of debris flow to test all the interaction forces in one single case. 65 Finally, Section 7 has the conclusions regarding the techniques here employed 66 based on the validation examples. 67

68 2. SPH method

SPH is a meshless technique employed to discretised equations which varies 69 with space. This method use an interpolant to find the value of a particular 70 dependent variable at an arbitrary point, \mathbf{x}_i , from the surrounding points, \mathbf{x}_i 71 (Figure 1) [39, 40, 41]. The point \mathbf{x}_i can displace carrying all the information 72 of several variables such as density, velocity, pressure, stresses, strains, among 73 others, depending on the set of equations to be solved [23]. The mass and 74 momentum conservation are the governing equations employed to represent the 75 fluid and soil as a continuum as explain below. 76



Figure 1: Approximation in SPH method.

- 77 2.1. SPH for fluid
- The conservation equations to represent the fluid were discretised using the
- ⁷⁹ Weakly Compressible (WCSPH) approach, as shown below.
- 80 Mass conservation

$$\frac{D\rho_i}{Dt} = \rho_i \sum_{j=1}^n \frac{m_j}{\rho_j} \mathbf{u}_{ij} \cdot \nabla_i W(r_{ij}, h)
+ \delta 2hc_s \sum_{j=1}^n \frac{m_j}{\rho_j} (\rho_j - \rho_i) \frac{\mathbf{x}_{ij}}{|\mathbf{x}_{ij}|^2 + 0.1h^2} \cdot \nabla_i W(r_{ij}, h)$$
(1)

81 Momentum equation

$$\frac{D\mathbf{u}_i}{Dt} = \mathbf{g} - \sum_{j=1}^n m_j \left(\frac{p_i}{\rho_i^2} + \frac{p_j}{\rho_j^2} + \Pi_{ij} \right) \nabla_i W(r_{ij}, h) \\
+ \sum_{j=1}^n 4m_j \frac{(\mu_i + \mu_j)}{(\rho_i + \rho_j)^2} \cdot \mathbf{u}_{ij} \nabla_i W(r_{ij}, h) - \mathbf{a}_i^{fs} + \frac{\mathbf{F}_i^{fN}}{m_i} \tag{2}$$

where the subindex *i* and *j* denote the point in the matter and the surrounding points, respectively. *n* is the number of neighbouring particles. $\mathbf{u}_{ij} = \mathbf{u}_i - \mathbf{u}_j$ is the difference of the velocity between the two particles *i* and *j*, $\mathbf{x}_{ij} = \mathbf{x}_i - \mathbf{x}_j$ is the vector that contains the distance between the two particles, *m* is the mass, ρ represents the density, *p* is the thermodynamic pressure and **g** is the gravity. $W(r_{ij}, h)$ the interpolating kernel, ∇_i denotes the gradient of the kernel taken with respect to the coordinates of particle *i* [42].

The second term in Equation 1 is a diffusive term know as δ -SPH, which is 89 employed to eliminate the noise in the pressure field. There are three versions of 90 δ -SPH, as shown in [60]. However, the version proposed by [43] was implemented 91 to preserve a low computational cost at the same time that a smooth pressure 92 field is obtained. $\delta = 0.15$ is a dimensionless constant. $c_{s(ij)} = (c_{s(i)} + c_{s(j)})/2$ 93 is the average speed of the sound. The $0.01h^2$ term in Equation 1 is included 94 to keep the denominator non-zero. Π_{ij} is the artificial viscosity employed solely 95 when shock wave phenomena are going to be treated, which is presented in 96 detail in [44, 27]. 97

The second and third terms on the right hand side of Equation 2 were discretised such as proposed by [44] [45] to handle discontinuities. \mathbf{a}_{i}^{fs} represents the acceleration coming from forces due to the soil particles. $\mathbf{F}_{i}^{fN} = \mathbf{F}_{i(n)}^{fN} + \mathbf{F}_{i(\tau)}^{fN}$ is the net exerted force on the fluid particle by DEM objects, which is explained below Equation 19. The pressure is computed explicitly by the equation of state proposed by [46]

$$p_i = c_s^2 \left(\rho_i - \rho_0\right) \tag{3}$$

where c_s is the speed of sound; the subscript 0 denotes the initial state of density.

The smooth kernel implemented in this work is the cubic spline [47], defined as

$$W(r_{ij},h) = \begin{cases} \alpha_d \left(1 - \frac{3}{2}q^2 + \frac{3}{4}q^3\right), & 0 \le q \le 1\\ \alpha_d \frac{1}{4}(2-q)^3, & 1 \le q \le 2\\ 0, & q > 2 \end{cases}$$
(4)

where α_d is $7/(478\pi h^2)$ and $1/(120\pi h^3)$ for two and three dimensions, for the unity requirement; $q = r_{ij}/h = |\mathbf{x}_i - \mathbf{x}_j|/h$, is the relative distance between two points and h is the smoothing length. The compact support domain (or influence radius) of this kernel is 3.

112 2.2. SPH for soil

The mass conservation of soil is the same as Equation 1 without dissipative term, whereas the conservation of momentum is described, such as:

115 Momentum equation

$$\frac{D\mathbf{u}_{i}}{Dt} = \mathbf{g} - \sum_{j=1}^{n} m_{j} \left(\frac{\sigma_{i}^{\prime \alpha \beta}}{\rho_{i}^{2}} + \frac{\sigma_{j}^{\prime \alpha \beta}}{\rho_{j}^{2}} + R_{ij}^{\alpha \beta} f_{ij}^{n} + \Pi_{ij} \delta^{\alpha \beta} \right) \nabla_{i} W(r_{ij}, h) \\
+ \mathbf{a}_{i}^{sf} + \frac{\mathbf{F}_{i}^{sN}}{m_{i}}$$
(5)

where $\sigma_i^{\alpha\beta}$ is the effective stress tensor, $R_{ij}^{\alpha\beta}$ is the artificial stress that is added to the components of the stress tensor which were in tension and f_{ij}^n is a suitable function which increases as the separation decreases [48, 27]. Π_{ij} is an artificial viscosity and $\delta^{\alpha\beta}$ is the Kronecker delta.

 $\mathbf{a}_{i}^{sf} \text{ represents the acceleration coming from forces due to the fluid particles.}$ $\mathbf{F}_{i}^{sN} = \mathbf{F}_{i(n)}^{sN} + \mathbf{F}_{i(\tau)}^{sN} \text{ is the net exerted force on the soil particle by DEM objects}$ as shown by Equation 25. If the interaction does not involves a DEM object $\mathbf{F}_{i}^{Ns} = 0.$

The constitutive model that describes the stresses produced by the interaction of soil particles is an elastic-perfectly plastic model in addition to a failure criterion of Drucker–Prager implemented as described in [23, 28]. The constitutive equation can be written as follows,

$$\frac{d\sigma^{\alpha\beta}}{dt} = \dot{\omega}^{\alpha\gamma}\sigma^{\beta\gamma} + \sigma^{\gamma\beta}\dot{\omega}^{\alpha\gamma} + 2G\dot{e}^{\alpha\beta} + K\dot{e}^{\gamma\gamma}\delta^{\alpha\beta} - \dot{\lambda}\left[9K\sin\psi\delta^{\alpha\beta} + \frac{G}{\sqrt{J_2}}s^{\alpha\beta}\right]$$
(6)

where $\dot{\omega}^{\alpha\gamma}$, $\dot{\varepsilon}^{\gamma\gamma}$ and $\dot{e}^{\alpha\beta}$ rotation rate tensors, volumetric and deviatoric strain rates, respectively. $\delta^{\alpha\beta}$ is Kronecker's delta, $\delta^{\alpha\beta} = 1$ if $\alpha = \beta$ and $\delta^{\alpha\beta} = 0$ if $\alpha \neq \beta$. K, G and ψ denote the bulk modulus, shear modulus and the dilatancy angle, respectively. $\dot{\lambda}$ is the rate of the plastic multiplier, λ , which depend on the state of stress and load history, and is defined as following,

$$\dot{\lambda} = \frac{3K\alpha_c \dot{\varepsilon}^{\gamma\gamma} + \frac{G}{\sqrt{J_2}} \dot{\varepsilon}^{\alpha\beta} s^{\alpha\beta}}{27\alpha_c K \sin\psi + G} \tag{7}$$

where $\dot{\varepsilon}^{\alpha\beta}$ and $s^{\alpha\beta}$ denotes the total strain rate and the deviatoric stress tensor. J_2 and α_c are the second invariant of the deviatoric stress tensor and a parameter from Drucker–Prager criterion. For further details, see [23, 28].

136 2.3. Fluid-soil SPH particle interaction

Equations 8 and 9 are the expressions employed to compute the interaction forces between the two SPH phases, fluid and soil. The interaction forces for the fluid and soil, respectively, are [49, 50]:

$$\mathbf{a}_{i}^{fs} = \sum_{j=1}^{n} m_s \frac{f^{seepage}}{\rho_f \rho_s} W(r_{fs}, h) \tag{8}$$

$$\mathbf{a}_{i}^{sf} = \sum_{j=1}^{n} m_{f} \frac{f^{seepage}}{\rho_{f} \rho_{s}} W(r_{fs}, h) - \sum_{j=1}^{n} m_{f} \frac{p_{f}}{\rho_{f} \rho_{s}} \nabla_{i} W(r_{sf}, h)$$
(9)

The subindex f and s denote fluid and soil particle, respectively. The second term in Equation 9 represents the pore fluid pressure exerted on soil particles. $f^{seepage}$ is the seepage force based on Darcy's law, which is defined as follows

$$f^{seepage} = \frac{\mu}{k} (\mathbf{u}_f - \mathbf{u}_s) \tag{10}$$

where $k = k_h \mu / \rho_f g$ is the intrinsic permeability, k_h is the Darcy hydraulic conductivity (unit, L/T), μ and ρ_f are the fluid viscosity and density, respectively. Dimensionally, k is an area (L^2) [51, p. 89]. By using a laboratory-scale series of experiments, [27, 28, 52, 53] have demonstrated that the physics implemented in this work for the coupling of soil-water interaction forces can produce satisfying agreements with experimental data. Because of this validations, the authors will focus on the validation with DEM in this paper.

150 **3. DEM**

DEM was proposed to represent granular assemblies that are treated as distinct objects by definition [33], where an interaction law among the particles is defined. Sphero-polyhedra approach of DEM is implemented in this work, which characteristic is given by a sphere radius, henceforth DEM halo, defined in Section 4 and widely described in [54]. The momentum equation of the DEM objects is given by the second Newton's law, thus;

$$m_k \frac{D\mathbf{u}_k}{Dt} = m_k \mathbf{g} + \sum_{i=1}^n \mathbf{F}_i^{Np}$$
(11)

where *m* is the mass, **u** is the velocity, **g** the gravity and $\mathbf{F}_{i}^{Np} = \mathbf{F}_{i(n)}^{Np} + \mathbf{F}_{i(\tau)}^{Np}$ is the exerted force on the DEM element by a SPH particle *i* of any SPH phase ¹⁵⁹ p (fluid or soil). Respectively, $\mathbf{F}_{i(n)}^{Np}$ and $\mathbf{F}_{i(\tau)}^{Np}$ are the normal and tangential ¹⁶⁰ force that are defined in Section 4.

¹⁶¹ 4. Coupled SPH-DEM

As mentioned above, any DEM particle (sphere, segment (2D) and plane) 162 is treated with the Sphero-polyhedra approach. One single DEM particle will 163 represent the DEM object, and there are not other SPH particles to represent 164 or discretise the DEM objects. All DEM particles have a halo to avoid any 165 "penetration" between SPH and DEM. Before starting any computation be-166 tween the two methods, it is necessary to verify if the DEM object is inside the 167 range radius (i.e., the compact support domain κh) of the SPH particle in the 168 matter. The main idea of this interaction approach is that the algorithm seeks 169 the closest contact point between a DEM particle (sphere, segment or plane) 170 and the SPH particle in concern. When the DEM particle is a segment, as the 171 SPH particle (blue particle) is located, the virtual SPH particle (purple particle) 172 will be placed based on the minimum distance (Figure 2b). This part of the 173 algorithm is detailed explain in [38]. If the object is a sphere, a virtual SPH 174 particle will be placed at the nearest point on the surface of the sphere (Figure 175 2a). Such virtual SPH particle will be placed as long as SPH particle is close 176 enough to the DEM particle. Then, a point on the surface of DEM sphere \mathbf{x}_s 177 is found by using the following expression, 178



Figure 2: Coupling SPH-DEM scheme. (a) DEM sphere interacting with SPH particles. (b) DEM segments or planes interacting with SPH particles. Blue particle represent the SPH particle, the purple particle is a virtual particle, and the circular and flat objects are DEM particles, whose positions are $\mathbf{x}_{sph}, \mathbf{x}_s$, and \mathbf{x}_{dem} , respectively.

$$\mathbf{x}_s = \mathbf{x}_{dem} + r\mathbf{n} \tag{12}$$

Thus, \mathbf{x}_s gives the position of the virtual SPH particle (purple particle in Figure 2) to compute the interaction between SPH real particle (blue particle in Figure 2) and the surface of the DEM particle. r is the radius of the sphere and $\mathbf{n} = (\mathbf{x}_{sph} - \mathbf{x}_{dem})/|\mathbf{x}_{sph} - \mathbf{x}_{dem}|$ is the unit normal vector, \mathbf{x}_{sph} is the position of the SPH particle and \mathbf{x}_{dem} is the centre of the DEM object. Then, the distance between the real and virtual particle is given by

$$d = |\mathbf{x}_{sph} - \mathbf{x}_s| \tag{13}$$

Finally, the overlapping distance δ between the SPH particle and the DEM halo is computed as follows (Figure 2),

$$\delta = \epsilon - d \tag{14}$$

where ϵ is the thickness of the halo. In this study, it has been verified that a value of the half of the initial SPH particle distribution (i.e., $\epsilon = \Delta x/2$) seems to be appropriate. ¹⁹⁰ When the distance between the SPH particle and the DEM object surface ¹⁹¹ is lower than the compact support domain (i.e., $d < \kappa h$). then, the tangential ¹⁹² force for fluid-DEM interaction will be computed as shown in Section 4.1. On ¹⁹³ the other hand, if the overlapping distance between the SPH particle and the ¹⁹⁴ DEM halo is greater than zero (i.e., $\delta > 0$), then the tangential force for the ¹⁹⁵ soil-DEM interaction can be computed as shown in Section 4.2.

In contrast, the normal force is computed indistinctly of the involved phase
 (soil or water) by assuming an elastic interaction defined as

$$\mathbf{F}_{i(n)}^{Np} = K_n \delta \mathbf{n} \tag{15}$$

where K_n and **n** are the normal stiffness coefficient and the normal unit 198 vector. The value of the normal stiffness is computed as $K_n = 0.1 m_{min} / \Delta t^2$, 199 being m_{min} the minimum value of the mass over all the particles inside the 200 domain either SPH or DEM particles, and Δt is the computational time-step. 201 The equation employed to compute the normal stiffness is based on the oscilla-202 tion period of a single degree of freedom, as explained in [55]. The time-step is 203 selected as the minimum required to keep the stability of SPH particles, either 204 fluid or soil. Besides, an adaptative time-step is employed as detailed presented 205 by [28]. The normal force is dependent on the allowed penetration of the SPH 206 particle into the DEM halo. Thus, pressure or normal stresses are not employed 207 in such purpose. The total force exerted on DEM objects is the summation of 208 the force coming from all SPH particles that interact with it as shown in Equa-209 tion 11. This definition of the normal force ensures that the SPH particle does 210 not break through the DEM particles and the normal stiffness expression guar-211 antees the stability of the solution [56, 31, 57]. Now, let us define the relative 212 velocity between the SPH particle and DEM object such as, 213

$$\mathbf{u}_{rel} = \mathbf{u}_{sph} - \mathbf{u}_{dem} - \boldsymbol{\omega}_{dem} \times (\mathbf{x}_s - \mathbf{x}_{dem})$$
(16)

where ω_{dem} is the angular velocity of the DEM object, \mathbf{x}_{dem} is the position of the centre of the DEM particle and \mathbf{x}_s is point on the surface of the DEM object (virtual SPH particle) (Figure 2). After the previous calculations, the
following steps depend on what SPH material (fluid or soil) is interacting with
the DEM object as it will be explained below.

219 4.1. Fluid-solid interaction force

The interaction term between the SPH fluid particle and DEM is defined by an extra viscous term \mathbf{a}_{τ} (Equation 17) with the same form as appear in Equation 2.

$$\mathbf{a}_{\tau} = \frac{1}{\rho_i} \nabla \cdot (\mu_i \nabla \mathbf{u}_i) = \frac{4m_i}{3h^D} \frac{(2\mu_i)}{(2\rho_i)^2} \frac{\mathbf{u}_{rel}}{d} \frac{\nabla_i W(d,h)}{W(0,h)}$$
(17)

The expression $2\rho_i$ is because the density of the virtual particle equals the density of the real SPH particle *i*, and the same principle is employed with the viscosity μ_i . *d* is the distance between the real and virtual SPH particle. The additional term that multiplies Equation 17 might be written separately as

$$\frac{2}{3h^D} \frac{1}{W(0,h)}$$
(18)

where *D* is the dimensionality of the problem. Since one single virtual SPH particle is "created" to compute the viscous interaction between SPH and DEM particles, deficiencies in the calculation of the viscous force might appear. Hence, Equation 18 is employed to compensate such deficiency, which corresponds to the readjusted normalising constant in a similar way as suggested by [30].

Then, the total force exerted from the DEM object to the SPH particle is described as follows;

$$\mathbf{F}_{i}^{fN} = \mathbf{F}_{i(n)}^{fN} + m_{i}\mathbf{a}_{\tau} \tag{19}$$

where the normal force $\mathbf{F}_{i(n)}^{fN}$ is computed as shown in Equation 15 and the second term on the right hand is the tangential force for fluid-DEM interaction $\mathbf{F}_{i(\tau)}^{fN} = m_i \mathbf{a}_{\tau}$. Equation 19 shows the net force exerted on the SPH particle by a DEM element. Since the third Newton's law governs the interaction force, Equation 20 shows the net force exerted on the surface of a DEM object.

$$\mathbf{F}_{i}^{Nf} = -\mathbf{F}_{i(n)}^{fN} - m_{i}\mathbf{a}_{\tau}$$

$$\tag{20}$$

By using the net force, it is possible to obtain torque exerted on the surface of the DEM particle as follows.

$$\mathbf{T} = \mathbf{F}_{i}^{Nf} \times (\mathbf{x}_{s} - \mathbf{x}_{dem})$$
(21)

Once all the torques are calculated, the Euler equations for the angular momentum is integrated using the Leap-Frog algorithm as described in [58, 59].

244 4.2. Soil-solid interaction force

²⁴⁵ When the soil particle is interacting with a DEM object, the normal force ²⁴⁶ $\mathbf{F}_{i(n)}^{sN}$ will be computed in the same when the interaction is fluid-DEM, Equation ²⁴⁷ 15. Whereas the frictional force depends on the relative velocity and the friction ²⁴⁸ coefficient. Thus, the tangential velocity is defined as follows [31],

$$\mathbf{u}_{\tau} = \mathbf{u}_{rel} - (\mathbf{u}_{rel} \cdot \mathbf{n})\mathbf{n} \tag{22}$$

The tangential component of the contact force acting on soil particle i can be computed using the following steps,

$$\boldsymbol{\delta}_{\tau} = \boldsymbol{\delta}_{\tau} + \Delta t \mathbf{u}_{\tau} \tag{23}$$

where Δt is the time-step and δ_{τ} the distance on which the SPH particle and the DEM particle are suffering tangential contact. The rectification of the tangential distance is given as shown by Equation 24.

$$\boldsymbol{\delta}_{\tau}^{*} = \begin{cases} \frac{\mu_{\phi} |\mathbf{F}_{n}|}{K_{n}} \mathbf{n}_{\tau}, & \text{if } |\boldsymbol{\delta}_{\tau}| > \mu_{\phi} |\mathbf{F}_{n}|/K_{n} \\ \boldsymbol{\delta}_{\tau}, & \text{otherwise} \end{cases}$$
(24)

where μ_{ϕ} is the frictional coefficient between soil and the surface of the structure, and $\mathbf{n}_{\tau} = \boldsymbol{\delta}_{\tau}/|\boldsymbol{\delta}_{\tau}|$ when $|\boldsymbol{\delta}_{\tau}| > 0$ to avoid division by zero. The net force acting on the soil particle *i* is given by Equation 25.

$$\mathbf{F}_{i}^{sN} = \mathbf{F}_{i(n)}^{sN} - K_n \boldsymbol{\delta}_{\tau}^* \tag{25}$$

The normal force $\mathbf{F}_{i(n)}^{sN}$ is computed as shown in Equation 15 and the second term on the right hand is the tangential force for soil-DEM interaction $\mathbf{F}_{i(\tau)}^{sN} = K_n \boldsymbol{\delta}_{\tau}^*$. The net force exerted on the DEM object satisfies the third Newton's law. Thus,

$$\mathbf{F}_{i}^{Ns} = -\mathbf{F}_{i(n)}^{sN} + K_n \boldsymbol{\delta}_{\tau}^* \tag{26}$$

Also, the torque is computed by using the net force as below,

$$\mathbf{T} = \mathbf{F}_i^{Ns} \times (\mathbf{x}_s - \mathbf{x}_{dem}) \tag{27}$$

Once all the torques are calculated, the Euler equations for the angular 262 momentum is integrated using the Leap-Frog algorithm as described in [58, 59]. 263 SPH is well known to suffer over volumetric deformation in any phase, fluids 264 and solids, even in hydro or geostatic conditions [60]. In the present work, such 265 an issue was also found through the free surface cases. However, such deforma-266 tion seems not to affect the SPH-DEM coupling directly since the interaction 267 forces are defined in term of the mass, which is exactly preserved unlike density 268 and consequently the volume. This can be noticed specially in soil mechanics 269 modelling interacting with the DEM particles (Equations 25 and 26). 270

271 5. Validation cases

272 5.1. Poiseuille flow

A simple case of Poiseuille flow was carried out to validate the proposed approach. A key component of the SPH-DEM coupling is the force exerted on DEM particles by SPH given by Equations 20 and 26. These equations are used

to calculate the force exerted on DEM particles and compare them with the 276 analytical solutions. For this case, the fixed boundaries of Poiseuille flow are 277 represented by flat DEM particles. The Poiseuille flow is given between two 278 stationary parallel infinite plates (herein simulated by DEM fixed particles) at 279 y = 0 and y = H (Figure 3). A constant acceleration a drives the fluid when 280 $t>0~\mathrm{s}$ under a laminar regime. The main assumptions to describe this process 281 is that the fluid is Newtonian, and the boundary conditions are non-slip. The 282 series solution for the transient behaviour is by the Equation 28 [44]. 283



Figure 3: Sketch of SPH-DEM coupling for Poiseuille problem.

$$u(y,t) = \frac{a}{2\nu}y(H-y) - \sum_{n=0}^{\infty} \frac{4aH^2}{\nu\pi^3(2n+1)^3} \sin\left(\frac{\pi y}{H}(2n+1)\right) \\ \cdot \exp\left(-t\frac{(2n+1)^2\pi^2\nu}{H^2}\right)$$
(28)

where u is the velocity in x direction, $\nu = \rho/\mu$ is the kinematic viscosity, ρ is the density and μ the viscosity. When $t \to \infty$, the flow reach the stationary condition that is described by Equation 29.

$$u(y, t \to \infty) = \frac{a}{2\nu} y(H - y)$$
⁽²⁹⁾

On the other hand, the force over a layer of fluid or the boundaries can be described by the Newton viscosity law as shown by Equation 30.

$$F_x(y, t \to \infty) = \tau A = \mu \frac{\partial u}{\partial y}(l \times 1)$$
 (30)

where τ is the main the shear stress, and $A = l \times 1$ is the area of the applied force, been l the length in x direction by unity in the z direction. By replacing Equation 28 into Equation 30 and after derivation, it is possible to obtain

$$F_x(y,t) = \rho a \left(\frac{H}{2} - y\right) l - \sum_{n=0}^{\infty} \frac{4\rho a H^2}{\pi^3 (2n+1)^3} \left(\frac{\pi}{H} (2n+1)\right) \cos\left(\frac{\pi y}{H} (2n+1)\right) \\ \cdot \exp\left(-t\frac{(2n+1)^2 \pi^2 \nu}{H^2}\right) l \qquad (31)$$

Equation 31 describes the force as a function of height and time. The force at the stationary state is described by Equation 32

$$F_x(y, t \to \infty) = \rho a \left(\frac{H}{2} - y\right) l$$
 (32)

The case is solved by using the following parameters as $a = 10^{-4} \text{ m/s}^2$, $y = [0, H] \text{ m}, H = 10^{-1} \text{ m}, l = 10^{-1} \text{ m}, \rho = 1000 \text{ kg/m}^3, \mu = 10^{-1} \text{ Pa-s},$ $\nu = 10^{-4} \text{ m}^2/\text{s}, t = [0, t \to \infty] \text{ s}.$ The Reynolds number is Re = 1.3, which belongs to the laminar regime. No dissipation terms were needed in this case. Figure 4 compares the analytical solution to the numerical solution given by two methods in the boundary, SPH and DEM. The coupled SPH-DEM produce results as good as the pure SPH method.



Figure 4: Vertical velocity profile comparison to the analytical solution (Equation 28). (a) SPH velocity obtain by the use of SPH boundary particles and (b) SPH velocity obtain by the use of DEM plates at the boundaries.

Figure 5 compare the force obtained by the Equation 31 to the force obtain 301 numerically on the DEM plates computed as shown in Equation 20. Figure 6 302 shows the Euclidean norm (Equation 33) of the error as a function of the time 303 produced in the velocity profile when using SPH or DEM boundary conditions. 304 It is possible to see that there is no difference between the two treatments. Also, 305 Figure 7 shows the Euclidean norm of the error generated by the computation 306 of the force on the DEM plates solely as a function of time. Although the 307 oscillations are noticeable, the order of magnitude for this specific example is 308 very low $O(10^{-6})$. 309

$$||e||_2 = \sqrt{\sum_{i=1}^{n} (u_a - u_n)_i^2}$$
(33)

where u_a and u_n are the velocity in x direction obtain by the analytical and numerical solution, respectively.



Figure 5: Comparison of the force profile (solid line) given by the analytical solution (Equation 31) to the numerical solution given by Equation 20 (blue triangles).



Figure 6: Euclidean norm of the error of the velocity profile as a function of time for both treatments at the boundary conditions, SPH dummy particles and DEM plates.



Figure 7: Euclidean norm of the error of the force obtained on the DEM plates as a function of the time.

312 5.2. Square array of cylinders immersed in fluid

The goal now is to test the coupling law for SPH fluid particles with curved DEM surfaces. Hence, a flow given through an array of cylinders is implemented, which is typically employed to represent flow in porous media. The flow is assumed to be in a steady motion driven by an acceleration a, and the fluid is incompressible. The dimensionless force exerted on a periodic square array of cylinders per unit length is given as [61, p. 167],



Figure 8: Square array of cylinders (DEM particles) immersed in a fluid flow (SPH particles).

$$\frac{F}{\mu\bar{U}} = \frac{4\pi}{k^*(c)} \tag{34}$$

where \bar{U} is the seepage velocity in x direction, and $k^*(c)$ is the dimensionless permeability which is a function of the solid concentration defined as $c = \pi r^2/L^2$. The simulation was performed for several solid concentration, which means that the length L was kept as constant, whereas, the radius r was changed as can be observed in Figure 11.

The solution is given in two dimensions by discretising the fluid with SPH 324 whereas the cylinder is represented by a DEM sphere as shown in Figure 8. 325 The boundary conditions are imposed as periodic. The case is solved under the 326 following conditions. The flow is driven by a body force $a = 2 \times 10^{-5} \text{ m/s}^2$ 327 in x direction. $x = y = [0, L], L = 2 \times 10^{-1} \text{ m}, \rho = 1000 \text{ kg/m}^3, \mu = 10^{-1}$ 328 Pa-s, $\nu = 10^{-4} \text{ m}^2/\text{s}$, $t = [0, t \to \infty]$ s, and the number of nodes was 100×100 . 329 Taking the scale of the DEM particle inside the fluid, the Reynolds number is 330 between $Re \approx 0.0023$ for a high solid concentration, and $Re \approx 0.1224$ for the low 331 solid concentration, which belongs to the laminar regime. The artificial viscosity 332 term was used for this case, where the values for the dissipation parameters were 333 $\alpha = \beta = 0.01$. The stability of this case was ensured by the following equations 334

$$C_s = \sqrt{\frac{ar\rho}{\Delta\rho}}; \qquad \Delta t = CFL\frac{h}{C_s} \tag{35}$$

where, C_s is the speed of the sound, $\Delta \rho = 3\%$ is the allowed variation in density and CFL = 0.005 is the stability condition. This values allows to obtain a smooth field pressure when δ -SPH is used (Figure 9d).

Figure 9 shows the solution of the problem postulated in this section. Figures 338 9a and 9b present the velocity and pressure field, respectively, with no density 339 dissipation. Figure 9c and 9d give the velocity and pressure field, respectively, 340 employing δ -SPH. It is notorious the improvement of the solution given in the 341 pressure field when δ -SPH is used, whereas there is not significant affection in 342 the velocity field. Also, Figure 10 compares the solution given by our coupled 343 SPH-DEM with δ -SPH to a FEM solution presented in [44]. The parameters 344 and dimensions were changed for this very specific case as is described in [44]. 345 The coupled methods show suitable results with respect to the FEM solution. 346



Figure 9: (a) Velocity distribution (u, m/s) and (b) pressure field $(p - p_{mean}, Pa)$ of the flow with a immerse DEM sphere when the boundary conditions are setted up as periodic and the solid concentration c = 0.125.



Figure 10: Comparison of pressure given by the SPH-DEM to the solution given by FEM presented in [44].

Figure 11 compares the dimensionless permeability given by the numerical 347 solution computed using SPH-DEM coupled method to its analytical solution. 348 It is necessary to calculate the coupling force through Equations 19 and 20 349 to replace it in the Equation 34 which allows to obtain the dimensionless per-350 meability. The analytical values were taken from the tabulation presented in 351 [61, p. 169][62]. Figure 11 shows the permeability computed with and without 352 the dissipation term for the density (i.e., δ -SPH). Although, δ -SPH produce a 353 nearly no noisy pressure field as shown in Figure 9, the dimensionless perme-354 ability present a higher overestimation (Figure 11). In spite of the differences, 355 the results obtained using SPH-DEM are in close agreement with the analytical 356 ones. 357



Figure 11: Comparison of the dimensionless permeability to the analytical solution taken from [62, 61].

³⁵⁸ 5.3. Granular flow impact on immovable wall

The tests of the coupled SPH-DEM have shown acceptable results for steady-359 state water flows. However, it is also important to test the method with discon-360 tinuous processes such as sharp wavefronts and its impact on immovable walls. 361 Furthermore, it is also needed to validate the SPH soil particles interacting with 362 DEM objects as it was performed with SPH fluid coupling. Therefore, an ex-363 periment of a granular flow developed by [63] was attempted to be reproduced 364 using our coupled model. The experiment was generated using 50 kg Toyoura 365 sand with a bulk density of 1379 kg/m^3 . The mean grain diameter is about 366 0.25 mm, and the mean porosity was 0.435 as taken by [64]. The parameters 367 to reproduce the experiment numerically are summarised in Table 1. The sand 368 was contained in a box which gate was suddenly released. The length and width 369 of the flume were 1.8 m and 0.3 m, respectively. An immovable wall was located 370 at a distance of 1.8 m, which was able to measure the impact force. The basal 371 surface of the channel was coated with sand to increase friction. The experiment 372 was developed for different inclination angles 45° , 50° , 55° , 60° and 65° [63]. 373

Parameter	Units	Value
Bulk density, ρ_s	kg/m^3	1379
Friction angle, ϕ	0	26
Dilation angle, ψ	0	0
Young modulus, E	MPa	10
Cohesion, c	kPa	0
Poisson ratio, ν		0.3
Porosity, n		0.435
Gravity, g	$\rm m/s^2$	9.81
Bed friction coefficient, μ_{ϕ}		$\tan 26^\circ$

Table 1: Parameter to reproduce the experiment of the impact force.

The case was simulated in 3D by discretising the space with SPH particles 374 to represent the sand, and DEM planes to set up de boundary conditions. The 375 initial condition has the following dimensions $0.5 \times 0.3 \times 0.3$ m, length, width, 376 and high, respectively, as shown in Figure 12. The spacing among the SPH 377 points was $\Delta x = \Delta y = \Delta z = 0.0125$ m, for a total of 20631 SPH particles. 378 Also, an artificial viscosity term was used for this case, where the values for 379 the dissipation parameters were $\alpha = \beta = 1.0$. A good fitting was found by 380 employing this friction angle as well as the dissipation parameters as indicated 381 by [26, 25] for granular flows. 382



Figure 12: Initial condition of the granular material inside the flume. The gray and black planes are DEM objects and the brown box is composed of SPH particles.

Figure 13 shows the longitudinal profile of the mass going down a flume with a slope angle $\theta = 45^{\circ}$ at six time-steps. The colour map shows the magnitude of the velocity. The front of the descending mass has the highest velocity, about 3.5 m/s, similar to the results obtained by [63] whereas the tail has a low velocity. Also, it is possible to see when the mass starts to be accumulated once it reaches the wall and how the flow over-tops the wall as describe by [63].



Figure 13: Lateral view of the granular flow with a slope angle $\theta = 45^{\circ}$. The colour map indicates the norm of the velocity vector $|\mathbf{u}|$ of the SPH particles.

389

Figure 14 compares the measured impact force in the experiment given by

[63] as a function of the time to the 3D numerical simulation generated by 390 the coupled SPH-DEM method when the inclination angle $\theta = 45^{\circ}$. Thus, 391 it is possible to validate the coupling force presented in Equations 26 and 26 392 when wave fronts of soil SPH particles impact a rigid wall. The experiment and 393 numerical results match within an acceptable tolerance. The "post-peak" values 394 are nearly the same in both situations despite the "peak force" is overestimated. 395 Also, a slight advance on the arrival of the mass to the wall can be noticed in 396 Figures 13 and 14. 397



Figure 14: Comparison of the impact force obtain from the experiment generated by [63], and the SPH-DEM simulation.

The Figure 15 shows the "peak force" measured in experiments performed by [63], as well as, the numerical solution obtained by the coupled SPH-DEM and [65]. The curves and the "peak force" is consistent with the experimental results for an inclination angle of 45°. However the "peak force" are overestimated in the remainder of the angles.



Figure 15: Comparison of the impact force obtain from the experiment generated by [63], the numerical results from [65], and the solution provided by the coupled SPH-DEM.

This granular flow has been reproduced by several authors to test other approximations. Table 2 shows the main characteristics of the SPH models presented in [21], [65] and the present work to solve the impact force problem. Each solution employed a different constitutive model, whereas none of the other authors has employed DEM boundary conditions. Despite all the differences our model produced closer results to [65] than [21] as can be noticed in Figure 15.

Table 2: The main differences of SPH models employed to solve the impact force problem.

Author	EOS	Constitutive equation	BC
[21]	Weakly-compressible	Bingham model	SPH
[65]	Incompressible	Mohr-Coulomb	SPH
SPH-DEM	Weakly-compressible	Druker-Praguer	DEM

EOS: equation of state, BC: boundary condition.

The small differences in width between the flume and the container given in the experiment set up might be the cause of the impact force overestimation, such as suggested by [65] even when the simulation was performed in 3D. Also, the bulk density, friction angles and artificial viscosity parameters significantly contribute to obtaining an appropriate and less noisy impact force estimation. ⁴¹⁴ Despite this, it is the author's opinion that the obtained match validates the⁴¹⁵ proposed coupling scheme.

416 5.4. Real scale dry landslide

Furthermore, from the author's experience, traditional dummy SPH parti-417 cles for the boundary conditions ([47, 66]) added to the constitutive model can 418 influence the run-out distance of long-distance travel landslides. Hence, it is 419 crucial to test the coupled method using larger-scale problems such a the Yang-420 baodi landslide that occurred in Southern China in 2002 [67]. The simulation of 421 the Yangbaodi landslide is presented by [67] in 2D, employing a scheme called 422 Particle Finite Element Method (PFEM). The reproduction 2D case denotes 423 that a plane strain was assumed, which imply that the strain in the third di-424 rection can be neglected in comparison with the horizontal and vertical ones. 425 Also, [68] presents a 2D simulation of the same event employing DEM par-426 ticles solely. The previously mentioned works presented the simulation in dry 427 conditions, unlike the real event that was triggered by accumulated rainfall. Cal-428 ibration in bed friction angle and friction coefficient were employed in PFEM 429 and DEM, respectively, to compensate the pore fluid pressure lack and obtain 430 the observed run-out distance in the field. The same dry condition with the 431 continuum approach is employed in this validation case in order to test the pure 432 bed frictional SPH-DEM coupling in large-scale problems based on the reference 433 solutions given by [67, 68]. 434

Hence, the simulation was executed by employing SPH particles to represent
the dry soil, whereas the boundary conditions are set up as DEM plates 2b. This
example allows to validate the coupling force defined in Equations 26 and 26 in
natural scale environments, where larger amount of energy and higher velocities
are occurring during the mass movement.

Figure 16 shows the initial configuration of the sliding mass, topography and the maximum run-out distance registered from the real case. The thickness of the soil is between 3 and 8 m, and the slope angle about 20° - 25°. The registered length and thickness of the deposit were 140 m and 1-5 m, respectively.



Figure 16: Initial configuration of the Yangbaodi landslide. Three tracked points (green points A, B and C).

The sliding mass was discretised by using a $\Delta x = \Delta y = 0.38$ m with a total of 3496 SPH particles akin to [67]. The boundary conditions were set up as DEM segments with a friction coefficient of $\mu_{\phi} = \tan 10^{\circ}$. The final time of the simulation was 30 s. The imposed parameters for the dry simulation of the Yangbaodi landslide were taken from [67, 68] and, are summarised in Table 3. Figure 17 shows the mass descending by the slope at 6 time-steps. The colour map shows the magnitude of the velocity of each SPH particle.

Table 3: Parameter to reproduce the Yangbaodi landslide in dry conditions.Taken from[67, 68]

Parameter	Units	Value
Soil density, ρ_s	$\rm kg/m^3$	1133.98
Friction angle, ϕ	0	28
Dilation angle, ψ	0	0
Young modulus, E	MPa	10
Cohesion, c	kPa	0
Poisson ratio, ν		0.3
Porosity, n		0.428
Gravity, g	$\rm m/s^2$	9.81
Bed friction coefficient, μ_{ϕ}		$\tan 10^\circ$



Figure 17: Norm of the velocity vector $|\mathbf{u}|$ of SPH particles going down the slope.

Figure 18a and 18b compare the SPH-DEM coupled method to the solution 451 produced by the Particle Finite Element Method (PFEM) presented in [67]. 452 The comparison of the coupled SPH-DEM is given to the PFEM since both 453 simulations were performed with the continuum approach. Moreover, the solu-454 tion given by PFEM was already validated with a more standard method, pure 455 DEM, showing similar results [67]. The velocity in x and y direction for each 456 tracker point A, B and C are compared with the two above mentioned numer-457 ical methods in Figures 18a and 18b, respectively. The velocity that is given 458 by the PFEM (solid green line) and SPH-DEM (dashed blue line) have similar 459 behaviour, and it is especially remarkable on the abrupt changes. The informa-460 tion of tracker point A, B and C is organised on the first, second and third row, 461 respectively. The dashed red lines show the path of the tracked points. Also, the 462 final deposition is represented by the dotted grey line and solid fuchsia line given 463 by the PFEM and SPH-DEM, respectively. Some negligible differences can be 464 appreciable in the velocity of the tracked points generated by both methods, as 465 shown in Figure 18. 466

On the one hand, the results given by the coupled SPH-DEM are satisfactory despite its overestimation in the run-out distance and the deposit shape respect to the PFEM (Figure 17). This observation is caused by the overpredicted volumetric deformation that is typical in the SPH method that can happen even in static conditions as can be observed in [60].



Figure 18: Comparison between SPH and PFEM. The solid green and dashed blue line are the velocity of the tracked point, A, B and C given by PFEM and SPH, respectively. The left and right column give the velocity in the x and y direction, respectively. The dashed red line represents the path of the tracked points. The solid black line represents the topography. The dotted grey and solid fuchsia lines are the final deposit shape obtained by PFEM and SPH, respectively.

472 6. Debris flow

After the validation of the SPH-DEM coupling either with fluid or soil by through four previous cases, the author desires to conclude this manuscript with a potential application of it for debris flow. Before any numerical description, it is crucial to define the limit of each phase to simulate the debris flow case.

The limits in the model will be established mainly based on sediment size, 477 and it will be split into three "phases". First, as suggested by [10], particles 478 with a silt-clay size can be taken as a part of the fluid since viscous forces 479 dominate grain motion. Then, if the amount of such fine particles is enough 480 to change the density and viscosity of the fluid phase, they must be considered 481 in the Newtonian model. If the mineral composition and quantity of the fine 482 particles are such that the viscosity becomes non-linear, then, another non-483 Newtonian constitutive model must be implemented for the fluid phase solely 484 (e.g., exponential law or Herschel-Buckley, see [69]). Second, if the diameter 485 is larger than silt size, as long as the grains keep in the frictional state, then 486 another constitutive model might be implemented to describe the behaviour of 487 the soil phase. Thus, an elastic perfectly-plastic constitutive model with Druker-488 Praguer failure criteria is employed in this work since it had demonstrated 489 appropriated results in large deformation cases [23, 70, 26]. Third, it has been 490 noticed that debris flows can drag big boulders which might have a diameter 491 comparable to the flow depth and can reach 11 m in diameter [71, 10, 9]. The 492 quantity and the size of such boulders in debris flows might be considered as 493 singular values because the size is out of the characteristic diameters. Thus, 494 large boulders are not included as part of the soil matrix that is represented 495 through the continuum approach in this paper. Therefore, big boulders whose 496 diameter is about the flow depth are represented as a "third phase" using DEM 497 498 spheres.

Hence, a hypothetical example of debris flow is implemented to have a pro-499 jection of its behaviour when all the materials (water, soil, and boulders) are 500 combined at the same time. Thus, it is possible to test the coupling forces 501 among all the materials in one single case, given by Equations 15, 19, 20, 25, 502 26. The configuration of this simulation is based on the case presented in section 503 5.4. However, several changes were performed in the initial configuration in such 504 a way that it is not the intension of this section to reproduce the Yangbaodi 505 landslide. 506

507

The topography is the same, plus two more DEM plates were added. A

horizontal one on the left-hand side to elongate the topography toward the back 508 that will serve as an inflow condition of the water (Figure 19). Three boulders 509 (DEM spheres) were placed into the fluid-soil mixture, as shown in Figure 19. 510 The same shape of the initial profile in the dry case was employed but 3 m 511 deeper in thickness, as shown in Figure 19. The soil was assumed to be 100 512 % saturated; so that the same shape of the initial condition for the soil was 513 employed for water. The fluid and soil mass were discretised using a distance 514 among points of $\Delta x = \Delta y = 0.5$ m, with a total of 7662 SPH points at the 515 beginning and 8216 SPH particles at the end due to the inlet flow. The final 516 time of the simulation was 30 s. 517



Figure 19: Initial configuration of the fluid and soil SPH particles, and DEM boulders (fuchsia points). Three soil SPH particles are tracked during the movement (green points A, B and C).

A Gumbel shaped function was employed to configure the velocity of the inlet flow during the half of the simulation whereas the water level was kept constant, 5m (see Equation 36 and Figure 20). Thus, it was possible to obtain a variable discharge upstream as might occur in dam-break or overtopping problems which are common in debris flows.

$$u = \frac{a_G}{\beta_G} \exp\left(-\frac{t-\mu_G}{\beta_G}\right) \exp\left[-\exp\left(-\frac{t-\mu_G}{\beta_G}\right)\right]$$
(36)

where $a_G = 35$, $\beta_G = 2$ and $\mu_G = 3$ are the parameter of the Gumbel function.



Figure 20: Hydrograph of the inlet flow.

Parameter	Units	Value
Soil density, ρ_s	$\rm kg/m^3$	2000
Friction angle, ϕ	0	28
Dilation angle, ψ	0	0
Young modulus, E	MPa	10
Cohesion, c	kPa	10
Intrinsic permeability, k_c	m^2	1×10^{-8}
Poisson ratio, ν		0.3
Porosity, n		0.428
Gravity, g	$\rm m/s^2$	9.81
Boulder density, ρ_B	$\rm kg/m^3$	2200
Boulder radius, R_B	m	2
Boulder friction coefficient, μ_{ϕ}		$\tan 18^{\circ}$
Bed friction coefficient, μ_{ϕ}		$\tan 18^{\circ}$
Fluid density, ρ_f	$\rm kg/m^3$	2200
Fluid viscosity, μ	Pa·s	1×10^{-3}

Table 4: Debris flow parameters.

Figure 21 shows the soil phase as well as the boulders descending by the slope at six time-steps. The colour map shows the magnitude of the velocity of each soil SPH particle. Figure 22 shows the fluid phase and the boulders descending by the slope at the same six time-steps as in the soil phase. The colour map shows the magnitude of the velocity of each fluid SPH particle. It is possible to see form Figures 21 and 22 that the velocity in both phases are similar, and a slight difference can be noticed in the fluid phase mainly caused by the inlet flow. The fuchsia points denote the position of each boulder at that time step.



Figure 21: Norm of the velocity vector $|\mathbf{u}|$ of SPH soil particles going down the slope and boulders (fuchsia points).



Figure 22: Norm of the velocity vector $|\mathbf{u}|$ of SPH fluid particles going down the slope and boulders (fuchsia points).

Figures 23 and 24 present the colour map of the pore fluid pressure at the 534 same six time steps. The most relevant characteristic is that the pore fluid 535 pressure is interrupted horizontally by the presence of such big boulders. In 536 contrast, the field of the pore fluid pressure seems to be more continuous in the 537 x direction, in the absence of such boulders. Because of that, it is possible to 538 see higher pore fluid pressure on the left side of the boulders and lower pressure 539 on the right side. It is noticeable specially at 10, 15 and 20 s (Figures 23 and 540 24). 541



Figure 23: Pore fluid pressure during the displacement of the entire mass and boulders (fuchsia points).



Figure 24: Pore fluid pressure during the displacement of the entire mass and boulders (fuchsia points).

Three trackers points were located precisely in the same position at the 542 beginning of the simulation as in the dry landslide case 5.4. Figure 25 compares 543 the velocity of the tracked points of the dry landslide to the debris flow with 544 and without boulders. The velocity in x and y direction of the debris flow for 545 each tracker point A, B and C is compared to the solution generated by the 546 coupling SPH-DEM in the dry landslide in Figures 25a and 25b, respectively. 547 The information of tracker points A, B and C is organised on by rows. The 548 grey hatched area represents the final deposition of the entire fluid-soil mass, 549 and the fuchsia points are the boulders. The solid orange line and dotted green 550 line represent the velocity of the tracked point, A, B and C given by the debris 551 flow, with and without boulders, respectively. The velocity that is given by the 552 debris flow with boulders (solid orange line) and debris flow without boulders 553 (dotted green line) has a very slight but still notable difference. The boulders 554 seem to slow down and reduce the travel distance of the mass a few meters, 555 unlike when the mixture does not have big boulders. This behaviour resembles 556

some descriptions given from observations in debris flow, where big boulders tend to retain materials on the rear part. Although this is not the proper case to study that characteristic due to the short travel distance, it starts to show a slow down process caused by the big boulders.

The aim of this section is not to reproduce the Yangbaodi landslide because 561 of the abrupt changes given in the initial conditions. However, it is possible 562 to observe in Figure 25 the similar velocities of the trackers points that were 563 found changing the friction coefficient of the DEM segments on the topography 564 from $\mu_{\phi} = \tan 10^{\circ}$ (dry case, Section 5.4) to $\mu_{\phi} = \tan 18^{\circ}$ (hypothetical debris 565 flow). It shows the importance of the friction coefficient of this kind of events. 566 Thus, the debris flow cases (solid orange line and dotted green line) show similar 567 behaviour to the dry case (dashed blue line). This similarity is mainly due to 568 the vertical restriction generated by the topography (see Figure 25b), whereas 569 the velocity in x direction can be more affected by all the changes produced in 570 the initial condition. 571



Figure 25: Comparison between dry landslide and debris flow with and without boulders. The solid orange line and dotted green line represent the velocity of the tracked point, A, B and C given by the debris flow, with and without boulders, respectively. The dashed blue line shows the velocity of the tracked point, A, B and C given by the dry landslide produced with SPH in Section 5.4. The left and right column give the velocity in the x and y direction, respectively. The grey hatched area is the profile of the final deposit of the fluid-soil mixture in addition to the boulders (fuchsia points).

On another hand, it is possible to obtain the information from the boulders such as their position, velocity and force exerted on them. Also, the potential E_P and kinetic energy E_K that the boulder possess by they self can be computed as follows,

$$E_P(t) = m_B g y_B = \rho_B \left(\frac{4}{3}\pi R_B^3\right) g y_B \tag{37}$$

$$E_K(t) = \frac{1}{2}m_B u_B^2 = \frac{1}{2}\rho_B \left(\frac{4}{3}\pi R_B^3\right) |\mathbf{u}|_B^2$$
(38)

where m_B is the mass of the boulder and $|\mathbf{u}|_B$ is the magnitude of the velocity of the boulder. Figures 26a and 26b show the potential and kinetic energy, respectively. From Figure 26a is evident that the boulders reach constant potential energy once they arrive in the flat area, whereas Figure 26b shows when the boulders lost all the kinetic energy at 23 s. Also, it is noticeable that the signal is noisy. However, it is caused by the dynamic of the process; the boulders have a variable velocity during the interaction with the other two phases, fluid and soil.



Figure 26: Energy versus time of each boulder during the flow. (a) potential energy. (b) kinetic energy.

Finally, the kinetic energy was checked from a control volume setup at the beginning of the horizontal zone (x=193.1 m) since this is the point were the mass reach the maximum velocity as can be verified in Figure 25. Any material (soil, fluid and boulders) that was crossing the control volume, whose width was $\Delta x = 0.5$ m, were added to obtain the total kinetic energy measured at that time (Figure 27). The equation that describe the total kinetic energy in the control volume at each time-step is given by,

$$E_{K(T)}(t) = \sum_{i}^{N} \frac{1}{2} m_{i} |\mathbf{u}|_{i}^{2} + \frac{1}{2} m_{B} |\mathbf{u}|_{B}^{2}$$
(39)



Figure 27: Scheme of the control volume to measure the kinetic energy as a function of time.

where N represents the total amount of SPH particles (soil and water) that 591 are crossing the control volume at that specific time-step. The second term on 592 the right-hand side will add the kinetic energy of a boulder as long as they are 593 crossing the control volume. Figure 28 shows the kinetic energy of the numerical 594 solution with boulders (solid black line) and without boulders (dashed blue line) 595 into the mixture. The simulation with no boulders is employed as a reference 596 case to observe the importance of including big and heavy objects into the 597 simulations when required. 598

It is noticeable when each boulder is crossing the control volume since the 599 kinetic energy is increased in one order of magnitude, which is marked by the 600 three peaks on Figure 28. The boulders are decreasing the dissipation rate of the 601 kinetic energy while they are moving, which increase the damage potential of 602 the flow. The quantitative estimation of the energy in such kind of phenomena 603 is essential to consider the damage level of a specific structure or to provide 604 data for designing of the retention structures. On the other hand, the average 605 behaviour in both cases, which is given by the SPH particles, is practically the 606 same. 607



Figure 28: Measurement if the kinetic energy at the distance of x = 193.1 m for the entire depth of the flow with boulders (black line) and with no boulders (blue line).

The results presented in this section, especially where it is shown the differ-608 ence in the behaviour of debris flow with and without boulders (Figures 23, 24, 609 25 and 27), highlight the importance of including all the materials (fluid, soil 610 and big boulders) to have a better understanding of dynamic of debris flow. The 611 SPH-DEM coupled method provides a promising tool that will help to study 612 the interaction of big boulders with the rest of the debris flow mixture, which is 613 still poorly understood as pointed out by [9]. Additionally, it might be possible 614 to get more accurate data no just to design retention structures but to compute 615 the potentially affected areas. Despite the promising benefits, validation for 616 such type of phenomena is still required. 617

618 7. Conclusions

The coupling SPH-DEM produces satisfactory results in all the benchmark cases here implemented, which is verified by analytical solutions, experimental measurements and field data. Also, the force exerted on the DEM elements is obtained straightforwardly; no extra computations are needed.

The main advantage of the coupling methodology here implemented relies on two facts. There is no longer concern that particles can penetrate the wall, as can occur with traditional treatments on the boundary conditions for SPH
solvers. This method avoids the penetration of the moving SPH particles entirely
through the boundary conditions. Moreover, the computational effort produced
to calculate the variables such as velocity, pressure and stresses for particles
that belong to the boundary conditions is wholly avoided.

The coupled method can predict the force for both stationary and transient cases since the results match with reasonable accuracy the analytical solutions and experimental data. The tangential force is the one that has a dominant role in differentiating if a DEM object is interacting with fluid or soil SPH particles. In contrast, the normal force is treated indistinct of the interacting material.

The velocity profile produced in the Poiseuille flow is quite the same if SPH or DEM boundary conditions are implemented. Also, the force had a low order of error $O(10^{-6})$ regards the analytical solution here presented. Good results were also found in the case of a square array of cylinders to obtain the permeability and drag force.

The impact force presented in the experimental dry granular flow is ac-640 ceptable despite the slight overestimation. It was also found that the friction 641 angle, the basal friction coefficient and artificial viscosity coefficients make a 642 significant contribution to the impact force. Furthermore, the dry landslide 643 simulation shows similar behaviour regards other numerical techniques. The 644 established tangential force term generates appropriate results demonstrated 645 mainly through the velocity of the mass as noticeable in the dry landslide case. 646 The projection of this numerical strategy toward debris flows shows con-647 sistent results: velocity, deposit profile and energy show realistic behaviour. A 648 significant difference could be noticed when big boulders are crossing the control 649 volume as well as the soil and fluid materials, which can contribute to structure 650 design of retaining dams for debris flows. 651

Some differences in pore fluid pressure field and velocity were found when the
big boulders are introduced in debris flows, in regards to their absence. However,
the coupled SPH-DEM still requires validation for such kind of phenomena.

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