# Coupled Discrete Element-Lattice Boltzmann simulations of settling behaviours of irregularly shaped particles

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We investigated the settling dynamics of irregularly shaped particles in a still fluid under a wide range of conditions with Reynolds numbers (*Re*) varying between 1 and 2000, sphericity ( $\phi$ ) and circularity (c) both greater than 0.5, and Corey factor (CSF) less than 1. To simulate the particle settling process, a coupled Discrete Element-Lattice Boltzmann model combined with a turbulence module was adopted. This model was first validated using experimental data for particles of spherical and cubic shapes. For irregularly shaped particles, two different types of settling behaviours were observed prior to particles reaching a steady state: 'accelerating' and 'accelerating', which could be distinguished by a critical CSF value of approximately 0.7. The settling dynamics were analysed with a focus on the projected areas and angular velocities of particles. It was found that a minor change of the starting projected area, an indicator of the initial particle orientation, would not strongly affect the settling velocity for low Re. Periodic oscillations developed for all simulated particles when Re > 100. The amplitude of these oscillations increased with Re. However, the periods were not sensitive to Re. The critical Re that defined the transition between the 'steady' and 'periodically oscillating' behaviours depended on the inertia tensor. In particular, the maximum eigenvalue of the inertia tensor played a major role in signalling this transition in comparison to the intermediate and minimum eigenvalues.

### I. INTRODUCTION

The behaviour of solid particles in fluids is frequently encountered in both natural and industrial processes. Examples include sediment transport in rivers and lakes, suspension of fine particles in the atmosphere, particle mixing in a fluidized-bed reactor widely used in the chemical industry, and movement of activated sludge in settling and separating tanks. As a basic form of particle motion, settling has attracted interests of many researchers and has been studied experimentally [1–15] and numerically [16–21]. However, most previous investigations were based on particles of regular shapes such as spheres, cubes and ellipses [1, 16]. The simple particle geometries assumed in these studies are in contrast with complex irregular shapes that are commonly found in natural sediments and particles used in industrial processes. The irregular particle shapes are likely to affect significantly the motion of particles [2, 8].

As the simplest case, the settling of a sphere in a still fluid has been widely investigated. Two dimensionless numbers are used for quantifying the settling dynamics: the particle Reynolds number Re and the drag coefficient  $C_D$ . With the sphere's diameter  $d_n$  selected as the characteristic length and the terminal settling velocity  $u_p$  as the characteristic velocity, Re is defined as follows:

$$Re = \frac{u_p d_n}{\nu}.$$
 (1)

The drag coefficient  $C_D$  indicates the magnitude of the

drag force and is given by:

$$C_D = \frac{4dg(\rho_s - \rho_f)}{3\rho_f u_p^2}.$$
(2)

In Eqs. (1) and (2),  $\nu$  is the kinematic viscosity of the fluid;  $\rho_s$  and  $\rho_f$  are the density of the solid sphere and the fluid, respectively; and g is the magnitude of the gravitational acceleration. Therefore,  $C_D$  is a function of *Re*. The drag force experienced by the particle can be written as:

$$F_D = C_D A_p \frac{\rho_f u_p^2}{2},\tag{3}$$

where  $A_p$  is the projected area of the particle normal to the vertical axis in the settling direction.

The first theoretical solution for a sphere settling in a viscous fluid was derived by Stokes:  $C_D = 24/Re$ , with the limit of  $Re \ll 1$  (also known as Stokes' regime) where the inertial force is negligible compared to the viscous force [22]. In the range of 2000 < Re < 30000 (Newton's regime),  $C_D$  for spheres is approximately a constant around 0.45. Under the condition of a moderate Reynolds number between Stokes and Newton's regimes, both inertial and viscous forces are important and many empirical formulas for  $C_D$  in terms of Re have been proposed based on data obtained by settling experiments (see reviews by Clift et al. [3] and Khan [4]).

For non-spherical particles,  $C_D$  is generally higher than that for spheres at the same Re. Various corrections of the original Stokes formula have been proposed for welldefined regular particle shapes in Stokes' regime. For instance, corrections for spheroids and ellipsoids were given by Clift et al [3] and White [5], and for cube and octahedron by Leith [6] and Ganser [7]. The basic idea is

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to introduce an additional term  $f_{shape}$ , which expresses essentially the ratio of drag coefficient for a particle of a non-spherical shape to that for a volume-equivalent sphere.  $f_{shape}$  is only related to shape factors. Similarly, corrections in Newton's regime have been proposed by Pettyjohn and Christiansen [10] and Stringham and Clarke et al [9]. At a moderate Reynolds number, Stokes' correction and Newton's correction are combined to predict the drag coefficient as reported by Ganser [7] and Cheng [11]. More corrections to account for the particle shape effects on the settling dynamics can be found in the review by Loth [8].

By using a shape factor based on sphericity (details in Sec. III), Haider [2] derived generalized drag coefficient and terminal velocity formulas for the range of 0.1 < Re < 100000 based on data for particle shapes of disk, cube octahedron, octahedron and tetrahedron. It is important to remark that Haider's formulas are limited in the range of sphericity from 0.5 to 1, and for fairly isotropic particle shapes. Further improvement was made by Tran-Cong et al. [1] by measuring the drag coefficients and developing an empirical correction for six different geometrical shapes, which were created by ordered assemblies of several identical smooth glass spheres glued together. It should be pointed out that when Re exceeds 100, particles may develop of [13–15, 19] periodically oscillating and even chaotic behaviours, which hinder the applicability of these formulas.

Over recent years, direct simulations have become a widely used approach for investigating the interactions of solid particles and fluid. Ladd [23] developed a computational method for spheres settling in a viscous fluid by assuming particles as 'shells'. Cate et al. [12] reported a good agreement between simulation results given by Ladd's method and experimental data under conditions of relatively low Reynolds numbers with the wall boundary effect also considered. Beetstra et al. [18] preformed the simulation for clusters of spheres as used in Tran-Cong's experiment and found the dependence of the drag coefficient on the inter-particle distance. Hölzer and Sommerfeld [16] indicated that the drag coefficient is also related to the angle of incidence for non-spherical particles based on a three-dimensional simulation. A coupled Discrete Element-Lattice Boltzmann method was developed by Cook et al. [24] and Feng and Michaelides [20] with the Lattice Boltzmann Method (LBM) simulating the fluid and the Discrete Element Method (DEM) [25] simulating solid particles. So far, most research has been focused on the sphere or other regularly shaped particles. Irregularly shaped particles, if considered, were approximated as clusters of spheres, which do not represent fully natural particle shapes. In this study, we examined the dynamic settling progress of irregularly shaped particles by using a modified LBM-DEM model developed by Galindo-Torres [26]. An important feature of this model is its ability to efficiently and accurately solve the interaction between fluid and particles of general shapes, even non-convex ones. To the authors' knowledge, this work is

the first three dimensional, direct simulation of settling of irregularly shaped particles.

This paper is organized as follows: Sec. II describes the methodology, including how to couple the Lattice Boltzmann Method with the Discrete Element Method, as well as the turbulence module. Sec. III briefly introduces various shape factors, which have been used to characterise irregularly shaped particles. Comparisons between numerical results from this study and previous ones for regularly shaped particles are presented in Sec. IV. Sec. V is focused on the settling of irregularly shaped particles, including both steady and unsteady motions. Finally Sec. VI presents conclusions from the present work.

# II. METHODOLOGY

# A. Lattice Boltzmann method with a turbulence module

The fluid flow is simulated by the Lattice Boltzmann equation (LBE) a discretized form of the Boltzmann equation [27]. The D3Q15 model is used with the space divided into cubic lattices. The velocity domain is discretized to fifteen velocity vectors as shown in Figure 1. The discrete velocity vectors are defined as follows:

$$\overrightarrow{e}_{i} = \begin{cases} 0, & i = 0, \\ (\pm 1, 0, 0), (0, \pm 1, 0), (0, 0, \pm 1), & i = 1 \text{ to } 6, \\ (\pm 1, \pm 1, \pm 1), & i = 7 \text{ to } 14, \end{cases}$$

Based on the Chapman-Enskog expansion of the Boltzmann equation, an evolution rule is applied to every distribution function [28]:

$$f_i(\overrightarrow{x} + \overrightarrow{e}_i \delta t, t + \delta t) = f_i(\overrightarrow{x}, t) + \Omega_{col}, \qquad (4)$$

where  $f_i$  is the probability distribution function,  $\overrightarrow{x}$  is the position of the local lattice,  $\delta t$  is the time step and  $\Omega_{col}$  is



FIG. 1. Discrete velocity vectors for D3Q15 [26].

the collision operator. The well-known Bhatnagar-Gross-Krook (BGK) collision operator is used in this study,

$$\Omega_{col} = \frac{\delta t}{\tau} (f_i^{eq} - f_i), \qquad (5)$$

where  $\tau$  is the relaxation time and  $f_i^{eq}$  is the equilibrium distribution given by,

$$f_i^{eq} = \omega_i \rho \left( 1 + 3 \frac{\overrightarrow{e}_i \cdot \overrightarrow{u}}{C^2} + \frac{9(\overrightarrow{e}_i \cdot \overrightarrow{u})^2}{2C^4} - \frac{3u^2}{2C^2} \right), \quad (6)$$

with  $C = \delta x/\delta t$  being the characteristic lattice velocity ( $\delta x$  is the lattice size). The weights are  $\omega_0 = 2/9$ ,  $\omega_i = 1/9$  for i = 1 to 6,  $\omega_i = 1/72$  for i = 7 to 14. The kinetic viscosity is related to the relaxation time by

$$\nu = \frac{\delta_x^2}{3\delta_t} \left(\tau - \frac{1}{2}\right),\tag{7}$$

Here the Mach number is defined as the ratio of the maximum velocity to C. When  $Ma \ll 1$ , the LBE can be recovered to the Navier-Stokes equation. More detail can be found in [28]. The macroscopic properties of fluid such as density  $\rho$  and flow velocity  $\vec{u}$  can be determined by the zero-th and the first order moment of the distribution function:

$$\begin{aligned}
\rho(\overrightarrow{x}) &= \sum_{i=0}^{14} f_i(\overrightarrow{x}), \\
\overrightarrow{u}(\overrightarrow{x}) &= \frac{1}{\rho(\overrightarrow{x})} \sum_{i=0}^{14} f_i(\overrightarrow{x}) \overrightarrow{e}_i,
\end{aligned} \tag{8}$$

It is well known that the stability of the LBM simulation is affected by the relaxation time  $\tau$ . The value of  $\tau$  should not be too close to 0.5 because of the use of a linearized BGK collision operator [26]. Due to this limitation, the standard Lattice Boltzmann method is only suitable for flow at relatively low Reynolds numbers. At high Reynolds numbers, it is necessary to incorporate a turbulence module into the Lattice Boltzmann equation (LBE). In this study, the Smagorinsky subgrid turbulence module introduced by Feng [29] is employed. The scales are divided into filtered and unresolved scales by the filtering of the LBE based on the lattice size  $\delta x$ . The LBE can be directly solved for filtered scales. An additional relaxation time  $\tau_a$  is used to represent the effect of motion at the unresolved scales [30]:

$$\tau_{total} = \tau + \tau_a,\tag{9}$$

where  $\tau_{total}$  is the total relaxation time.  $\tau_a$  is related to the turbulence viscosity  $\nu_a$ :

$$\tau_a = \frac{3\delta_t}{\delta_x^2} \nu_a,\tag{10}$$

with the turbulence viscosity  $\nu_a$  given by

$$\nu_a = (Sc\delta x)^2 \hat{S},\tag{11}$$

where Sc is the Smagorinsky constant with a typical value range between 0.1 and 0.2; and the magnitude of

the filtered strain-rate tensor  $\hat{S}$  can be obtained from  $\tilde{Q}_{ij}$ - the second moment of the distribution function, i.e.,

$$\hat{S} = \frac{\sqrt{2\sum_{i,j} \tilde{Q}_{ij} \tilde{Q}_{ij}}}{2\rho S c \tau_{total}},$$
(12)

with  $\tilde{Q}_{ij}$  given by

$$\tilde{Q}_{ij} = \sum_{k=0}^{14} e_{ki} e_{kj} (f_k - f_k^{eq}), \qquad (13)$$

where  $f_k$  and  $f_k^{eq}$  are the non-equilibrium distribution function and equilibrium distribution function, respectively.

#### B. Coupling approach for LBM and DEM particles

A coupling method for LBM and DEM was introduced by Owen [21] for spheres. The immersed boundary method [31] is adopted to model the interaction between fluid and solid. The LBE is modified as:

$$f_i(\vec{x} + \vec{e}_i \delta t, t + \delta t) = f_i(\vec{x}, t) + B_n \Omega_i^s + (1 - B_n) \left[ \frac{\delta t}{\tau} (f_i^{eq} - f_i) \right],$$
<sup>(14)</sup>

where  $B_n$  is a weighting function depending on the volume occupation fraction  $\varepsilon_n$ .  $\Omega_i^s$  is an additional collision term that accounts for the momentum exchange between fluid and moving DEM particles. The bounce-back rule is applied to the interface of fluid and solid. The form of  $\Omega_i^s$  proposed by Nobel [32] is used in this study:

$$\Omega_{i}^{s} = \left[ f_{i'}(\overrightarrow{x}, t) - f_{i'}^{eq}(\rho, \overrightarrow{v}_{p}) \right] - \left[ f_{i}^{eq}(\rho, \overrightarrow{v}_{p}) f_{i'} - f_{i}(\overrightarrow{x}, t) \right],$$
(15)

where the symbol i' denotes the direction opposing the i direction, and  $\overrightarrow{v}_p$  is the velocity of the DEM particle at position x computed as:

$$\overrightarrow{v}_p = \overrightarrow{\omega} \times (\overrightarrow{x} - \overrightarrow{x}_c) + \overrightarrow{v}_c, \tag{16}$$

where  $\overrightarrow{v}_c$  and  $\overrightarrow{\omega}$  are the translational velocity and angular velocity at the DEM particle's centroid, respectively. Several forms of the weight function  $B_n$  have been discussed in [29] and [32]; however, the differences of these forms do not significantly affect the simulation results. In this study, we apply  $B_n$  as given by [32]:

$$B_n(\varepsilon) = \frac{\varepsilon_n(\tau - 1/2)}{(1 - \varepsilon_n) + (\tau - 1/2)},$$
(17)

The volume occupation fraction  $(\varepsilon_n)$  plays an important role in the fluid-particles interaction. Three schemes of  $\varepsilon_n$  have been investigated in literatures [17, 21]: an exact closed-form solution, cell decomposition and polygonal approximations. Compared with the third one, the first two schemes provide more accurate predictions [17]. However, their computational costs are high. The polygonal approximation is the most efficient scheme but only provides adequate accuracy for spheres and the  $\varepsilon_n$  value for irregularly shaped particles may deviate greatly from the exact value.

To resolve this problem, Galindo-Torres extended Owen's method by using the sphero-polyhedron technique [33–35]. A sphero-polyhedra is constructed following two steps as shown in Figure 2: first, an original polyhedra is eroded by a distance of sphero-radius R; after that, the eroded polyhedra is dilated by a sphere of the same radius (R). The so-created sphero-polyhedra are similar to the original ones but with rounded edges and corners. Therefore, the interaction between the spheropolyhedra and LBM cells is smooth as in the case of spheres. The volume occupation fraction  $\varepsilon_n$  can then be calculated by an approximation method based on the length of an edge occupied by solid particles, i.e.,

$$\varepsilon_n = \frac{\sum_{e=1}^{12} l_e}{12\delta_x},\tag{18}$$

where  $l_e$  is the length of the *e*-th edge occupied by solid particles. More details can be found in [26], where the equation was tested and demonstrated to perform as well as other existing, most accurate methods for calculating  $\varepsilon_n$ .

The total hydrodynamic force and torque over a particle covered by n cells can be calculated as:

$$\overrightarrow{F} = \frac{\delta_x^3}{\delta_t} \sum_n B_n \bigg( \sum_i \Omega_i^s \overrightarrow{e_i} \bigg), \tag{19}$$

$$\vec{T} = \frac{\delta_x^3}{\delta_t} \sum_n \left[ (\vec{x} - \vec{x}_c) \times B_n \left( \sum_i \Omega_i^s \vec{e_i} \right) \right], \quad (20)$$



FIG. 2. A 3D sphero-cube: initially the cube is eroded or shrunk by a distance equal to the sphere radius, and then is dilated by the same sphere. After this morphological transformation, the cube ends up having rounded corners [26].

# III. SHAPE FACTORS

The characteristics of general particle shapes can be described by a number of shape factors. Five shape factors are considered in this work, namely: sphericity  $\phi$ , nominal diameter  $d_n$ , surface-equivalent-sphere diameter  $d_A$ , circularity of the projected surface c and Corey shape factor CSF.

The sphericity  $(\phi)$  has been suggested as an appropriate single shape factor for isometric non-sphere particles [1, 2] and is defined as follows [36]:

$$\phi = \frac{S_{sphere}}{S} \tag{21}$$

with  $S_{sphere}$  being the surface area of volume-equivalentsphere and S is the surface area of the particle.

However, measurement of the particles surface area is difficult in practice, especially for particles of irregular shapes.

A widely used shape factor is the nominal diameter  $d_n$  defined as the diameter of the volume-equivalent-sphere [36]. Another widely used diameter is the surface-equivalent-sphere diameter,

$$d_A = \sqrt{\frac{4A_p}{\pi}} \tag{22}$$

where  $A_p$  is the projected area of the particle.

The circularity of the projected area has also been examined [1] which is given by:

$$c = \frac{\pi d_A}{P_p} \tag{23}$$

where  $P_p$  is the perimeter of the particles projected area.

The Corey shape factor CSF [37] is directly related to the sizes of the particle in three dimensions, as defined by:

$$CSF = \frac{d_s}{\sqrt{d_i d_l}} \tag{24}$$

where  $d_l$ ,  $d_i$  and  $d_s$  are the longest, intermediate, and shortest particle axes lengths, respectively.

### IV. VALIDATION

#### A. Settling of sphere

The settling of a sphere in a viscous fluid is simulated to examine the dynamic behaviour of the sphere and associated fluid motion. The domain size is  $6.6d_n \times 6.6d_n \times$  $10.6d_n$ . Ladd [23] suggested that the sphere's diameter  $d_n$  should be larger than 9 LBM cells to ensure a sufficient accuracy, and here we set  $d_n = 30$  LBM cells size, which is equal to 15 mm in the physical unit. The sphere is placed at a height of  $8d_n$  from the bottom. The particle's density  $\rho_s$  is 1120 kg/m<sup>3</sup>; the fluid density  $\rho_f$  and



FIG. 3. Comparison between simulated settling velocities and experimental measurements for sphere particles during the settling process.

the kinetic viscosity  $\nu$  are set according to [12]. The values of all the parameters used in the simulations are listed in Table I. Wall boundary conditions are applied at the boundaries. Note that in the simulations, the gravity is only applied to the DEM particle and thus a relative gravity given by  $(1 - \rho_f / \rho_s)g$  is used as suggested by Feng [20].

The time series of simulated settling velocities are compared to the experimental data presented in [12] for Re = 1.5 and Re = 31.9 as shown in Figure 3. Also plotted in the figure are predicted terminal settling velocities based on an empirical drag coefficient formula from [38]:

$$C_D = \frac{24}{(9.06)^2} \left(\frac{9.06}{\sqrt{Re}} + 1\right)^2,\tag{25}$$

TABLE I. Parameter values used in and calculated from the simulations in comparison with experimental data.  $u_s/u_e$  is the ratio of simulated terminal settling velocity to measured value from physical experiments.

Re	St	$ ho_f$	ν	$u_s/u_e$
[-]	[-]	$[\mathrm{kg}/\mathrm{m}^3]$	$[m^2/s]$	[—]
1.5	0.19	970	0.385	0.950
4.1	0.53	965	0.220	0.972
11.6	1.50	962	0.117	0.945
31.9	4.13	960	0.060	0.951



FIG. 4. Comparison between our simulation settling velocity and experiments for the sphere.

Overall the simulation results agree well with both the experimental data and predictions of Eq. 25. The maximum velocities in both the simulation and experiment are of slightly lower magnitudes than those predicted by Eq. 25 because of the hindrance effect of the wall boundaries: particle motion affected by the additional resistance due to the container walls. The ratio of simulated terminal settling velocities to measured values from the experiment( $u_s/u_e$ ) is around 0.95 as given in Table I. Similar results have been reported by [21, 26]. The model slightly over-predicts  $C_D$  when Re is less than 40. This may linked to the form of the weighting function  $B_n$  used.

#### B. Settling of cube

Another simulation is performed for a cube of 20 LBM cells (i.e.,  $d_n = 20$  LBM cells size) with a sphero-radius of 1 LBM cell size. The depth and width of the domain are both  $5d_n$  but the height increases with the Reynolds number from  $16d_n$  to  $72d_n$ . Re varies over the range from 1 to 1200; for Re > 100, the cube always tends to rotate during the settling process and thus the average terminal velocity is used for comparison. A previous study by Haider[2] has developed a quantitative relation between the dimensionless diameter  $\hat{d}$  and the dimensionless terminal velocity  $\hat{u}$  for non-spherical particles based on experimental data:

$$\hat{u} = \left[\frac{18}{\hat{d}^2} + \frac{2.3348 - 1.7439\phi}{\hat{d}^{0.5}}\right]^{-1}$$
(26)



FIG. 5. Comparison of settling velocity between cubes released with different initial orientations: the edges of the cube parallel to the container (blue solid line), and with a rotation of  $45^{\circ}$  around the *x* axis (red dotted line). The viscosity  $\nu = 2.0 \times 10^{-5} \text{m}^2/\text{s}$ . The variations shown toward the end of the simulation are due to the effect of the lower boundary.

where  $\phi$  is the sphericity of the particle and

$$\hat{d} = d_n \left[ \frac{g(\rho_s - \rho_f)}{\nu^2 \rho_f^2} \right]^{\frac{1}{3}}$$
(27)

and

$$\hat{u} = u_p \left[ \frac{\rho_f}{g\nu(\rho_s - \rho_f)} \right]^{\frac{1}{3}} \tag{28}$$

Figure 4 shows an excellent agreement between the results of this study and Haider's predictions with the maximum difference of  $\hat{u}$  lower than 3%. To determine the influence of the particle's initial orientation, a series of simulations are performed with different rotations of the cube. Although these simulations show different settling patterns at the beginning, the settling of the cube in all cases reaches the steady state with the same value of terminal velocity for a given Re (see Figure 5).

### V. SETTLING OF IRREGULAR SHAPED PARTICLES

The irregularly shaped particles used in this study are described by 3D polygon meshes. The advantages of these meshes for the study are two-fold: firstly, they can be used to describe bodies of any shapes with a sufficient resolution; and secondly, compared with the method of using a cluster of spheres to approximate general particle shapes, using a mesh can avoid flow passing through the inside of particles since there is no void space within the solid body. Seven particles with different geometrical shapes are constructed as shown in Figure 6. The mesh information and the shape factors of all the particles are summarised in Table. II. Depending on the complexity of the particle shape, the mesh size is varied to ensure enough resolution. All particles have the same volumetric mass density and the same volume of an equivalent sphere of diameter  $d_n = 13$  lattice unit. Thus the gravity force is the same for all the particles and hence the only difference between these particles is their shapes. The sphericity, circularity and Corey shape factor of the particles vary from 0.5 to 1. The *Re* is controlled by changing the viscosity. Four values of kinematic viscosity  $\nu$  are applied in the simulation:  $2.0 \times 10^{-4}$ ,  $1.0 \times 10^{-4}$ ,



FIG. 6. Three views of the irregularly shaped particles investigated.

TABLE II. Mesh information and shape factors of particles.

Particle	Vertices	Edges	Faces	Sphericity $\phi$	$d_A/d_n$	Circularity $c$	Corey factor Co
А	40	114	76	0.8215	1.3254	0.9204	0.797
В	46	132	88	0.9037	1.2577	0.9526	0.939
С	47	95	100	0.5923	1.4784	0.9009	0.875
D	96	290	196	0.6268	1.4148	0.7418	0.840
$\mathbf{E}$	42	120	80	0.7083	1.5611	0.7485	0.446
$\mathbf{F}$	28	78	52	0.7763	1.4875	0.8772	0.440
G	59	173	116	0.5352	1.5635	0.6182	0.667



FIG. 7. Drag coefficient of irregularly shaped particles as a function of the Reynolds number.

 $5.0 \times 10^{-5}, 2.0 \times 10^{-5} \text{m}^2/\text{s}.$ 

An experimental work has been presented by Tran-Cong et al [1], who studied the drag coefficient of irregularly shaped particles. Based on the experimental results, they modified the drag coefficient formula of Clift et al [3] to incorporate the shape effect:



FIG. 8. Cross sectional contour plot of fluid velocity field for particle F at Re = 3.04. The colourmap shows the magnitude of the fluid velocity.

$$C_{D} = \frac{24}{Re} \frac{d_{A}}{d_{n}} \left[ 1 + \frac{0.15}{\sqrt{c}} \left( \frac{d_{A}}{d_{n}} Re \right)^{0.687} \right] + \frac{0.42 \left( \frac{d_{A}}{d_{n}} \right)^{2}}{\sqrt{c} \left[ 1 + 4.25 \times 10^{4} \left( \frac{d_{A}}{d_{n}} Re \right)^{-1.16} \right]},$$
(29)

The drag coefficient  $C_D$  simulated in this study and the results from Eq. 29 versus Re are plotted in Figure 7. For comparison, the predictions for a volume-equivalent sphere are also included. The particles are divided into two groups based on whether their Corey factors (CSF)are greater than 0.7 or not since different patterns of settling are observed for these two groups of particles in this study. For group 1,  $C_D$  based on the simulation results agrees well with Eq. 29 in the low range of Re; however when Re > 20, the simulated values are slightly higher and the difference increases with Re. Tran-Song



FIG. 9. Time series of dimensionless settling velocities of irregularly shaped particles simulated with different viscosity values.

et al. [1] suggested that Eq. 29 is suitable in the range of Re < 50 for tetrahedrons and Re < 200 for cubes.  $C_D$ should approach a constant when Re is close to Newton's regime which the settling behaviour is dominated by vortices behind the particle. Also at high Re, the settling behaviour may be affected by the wall boundary condition; and this effect can be reduced by increasing the simulation domain size, which however would lengthen the simulation time. Note that a periodic boundary condition is not suitable at high Re, because of the wake left behind the particle [19]. Compare with group 1, the deviation of the simulation results for group two particles from Eq. 29 cannot be ignored even at low  $Re. C_D$ for all 7 irregularly shaped particles are higher than that for the sphere. The highest  $C_D$  is observed for particle G, which has the lowest sphericity and circularity but highest  $d_A/d_n$ . In contrast, particle B with the highest sphericity circularity and lowest  $d_A/d_n$  has the smallest value of  $C_D$ .

For a given Re,  $C_D$  for both group 1 and group 2 particles increases with  $d_A/d_n$ , consistent with the predictions of Eq. 29. Figure 8 shows images of the flow field and the motion of particle F for  $\nu = 2.0 \times 10^{-4} \text{m}^2/\text{s}$ . At the beginning, the plane of the particle's maximum projected area is parallel with the direction of the settling motion (maximum projection indicated by the white colour in the first sub-figure on the left hand side); then the particle starts rotating until the maximum cross-section becomes normal to the direction of motion (minimum projection on the plane parallel to the settling direction shown in the last sub-figure on the right hand side).

Figure 9 shows the time series of settling velocities simulated with different viscosity values. The settling velocities are normalized as  $u_* = u_p \left[ \left( \frac{\rho_s}{\rho_f} - 1 \right) g d_n \right]^{-\frac{1}{2}}$  [39]. The

results show that the terminal velocity increases with decreasing viscosity as expected. The settling dynamics of the particles depend largely on Re. At low Re, the settling of all particles reaches a terminal velocity under the balance of drag and gravity forces after an initial acceleration stage. Two different types of  $u_*$  behaviours can be observed: firstly an 'accelerating' behaviour for group 1 with  $u_*$  rapidly increasing initially but gradually approaching a steady state and reaching the terminal velocity; secondly an 'accelerating-decelerating' behaviour for group 2. The second behaviour is characterised by an overshoot of the settling velocity particles accelerated with  $u_*$  reaching a maximum value that exceeds the terminal velocity and then decelerated to the steady state condition given by the terminal velocity. The difference may be linked to the ratio of the minimum projected area to the maximum projected area (related to CSF), which is considerably lower than 1 (< 0.7) for group 2 particles. For these particles, the drag force as a function of the projected area would be relatively small, permitting the over-acceleration of particles.

Previous experimental results indicate that there is no steady-state settling for irregularly shaped particles when Re is higher than 100, unless they are released with the maximum cross-section normal to the vertical axis in the settling direction [1, 13, 14]. These particles tend to show a spiral trajectory at high Re as they rotate around a horizontal axis. The same phenomenon is observed in the present simulations. Except for particle C, the settling velocity of all particles fluctuates with time but around a constant value. The terminal velocity increases with decreasing viscosity. The motion of particles is strongly affected by the horizontal force, different from the low Re case where the horizontal force is very weak. The exceptional behaviour of particle C will be discussed in the following section.

From Eq. 3 it can be seen that the projected area plays an important role in the settling process. Figure 10 shows the x, y, and z component of the angular velocity, i.e.,  $\omega_x$ ,  $\omega_{u}$  and  $\omega_{z}$  as well as the dimensionless projected area  $P_{A}$ for particle B, C, E and F. The dimensionless projected area  $P_A$  is define as the ratio of the projected area to  $\pi d_n^2$ (projected area of a volume-equivalent sphere). In all the cases,  $P_A$  increases at the beginning, which means that all the particles tend to adjust their orientations until the maximum cross-section becomes normal to the settling direction. At low Re,  $P_A$  for most of the particles reaches a constant after the acceleration stage. However, Figure 10(b) and Figure 10(c) shows that even after reaching the steady state,  $P_A$  still changes with time. This suggests that minor changes of the projected area may persist but do not strongly affect the settling velocity at low *Re*. Because the particle moves with a layer of water around it (Figure 8), if the thickness of the 'water shell' is large enough, the settling velocity is not sensitive to the rotation. The x and y component of the angular



FIG. 10. Time series of projected area and angular velocities of particle B, C, E and F simulated with different viscosity values.

velocity ( $\omega_x$  and  $\omega_y$ ) also increase at the beginning but subsequently become very small, approaching zero. At high Re,  $\omega_x$  and  $\omega_y$  as well as  $P_A$  show periodic fluctuations. The transition from the steady state behaviour for low Re to this oscillating condition appears to occur when Re is approximately equal to 100. The amplitudes of the oscillations of  $\omega_x$  and  $\omega_y$  depend on Re. For the z component of the angular velocity  $\omega_z$ , the value is always near zero for all Re.

As shown in Figure 10(a) and Figure 10(b), the amplitudes of  $\omega_x$  and  $\omega_y$  are of the same order of magnitude for particles B and C. However,  $\omega_x$  and  $\omega_y$  differ significantly for particles E and F (Figure 10(c) and Figure 10(d)). There appears to be a major rotating axis, either x or y, for these particles with low CSF. Although the amplitude of the larger component between  $\omega_x$  and  $\omega_y$  decreases with time, its value does not reach zero, as confirmed by additional simulations. A detailed discussion of this persistent rotation is given in the next section. The streamlines for particle A in cases with different Re are plotted in Figure 11. The results show that vortexes form and move away from the particle surface at high Re. These vortexes are directly linked to the unsteady behaviour of particles.

As mentioned above, the irregularly particles will approach an unsteady (oscillating) state when Re exceeds 100. To investigate the unsteady behaviour of particles, additional simulations with an increased height of the domain are performed for  $\nu = 2.0 \times 10^{-5}$  and  $1.0 \times 10^{-5} \text{m}^2/\text{s}$ , which correspond to Re of approximately



FIG. 11. Streamlines of particle A simulated with two viscosity values: the seeds of streamlines are set around the particle and with equal spacing between each other.

100 and 200, respectively. Figure 12 shows clearly the periodic oscillations of the angular velocity around zero. As  $P_A$  is directly controlled by the angular velocity, it also fluctuates around a mean value after undergoing a relatively rapid increase at the beginning. The amplitudes of  $\omega_x$  and  $\omega_y$  increase with Re; however, the oscillation frequency is not sensitive to Re. When the particles move close to the bottom in the case with Re = 200, two different trends of the angular velocity are found: for particle B and E, the amplitudes of  $\omega_x$  and  $\omega_y$  tend to decrease, while the opposite occur for particle C and F. The cause of the different trends requires further investigation.

In a previous experimental study, the dynamical behaviours of thin disks were characterised as being 'steady', 'periodic' and 'chaotic' or 'tumbling'. These behaviours depend on the moment of disk inertia and Re [13]. In the present study, we observe 'steady' and 'periodic' behaviours of irregularly shaped particles within the range of Re examined. Figure 13 shows the phase diagram. It should be pointed out that for thin disks only one moment of inertia is necessary because the normal one  $I_n$  can be ignored. For the case of particles of general shapes, the moment of inertia I should be considered as a tensor. Moreover,  $\mathbf{I}$  is not a constant in the static Cartesian coordinates during settling and thus the eigenvalues  $(I_i)$  of the tensor are used to characterize the unsteady behaviour of particles. We note that the transition between 'steady' and 'periodic' behaviours occurs in the range of 80 < Re < 300 and the critical Re is a function of **I**. A boundary separating the two behaviours may be found only on the phase diagram based on the maximum eigenvalue  $I_{max}$  and Re. It is interesting that the curve of this boundary appears to have a similar geometric feature to that for thin disks. The overlap for the medium and minimum eigenvalues  $(I_{mid} \text{ and } I_{min})$ 

implies that  $I_{max}$  play the major role. One may note that the moment of inertia used in [13] is  $I_{min}$ ; however  $I_{max}$  would have given the same results as  $I_{max} = 2I_{min}$  for thin disks.

#### VI. CONCLUDING REMARKS

We have investigated the settling dynamics of single particles of complex, irregular shapes in a still fluid through simulations using a coupled Discrete Element-Lattice Boltzmann model. This model was first validated with experimental results for spheres conducted by Cate et al.[12] and for cubes carried out by Haider[2] under conditions of moderate Reynolds numbers. Seven irregularly shaped particles were constructed using 3D polygonal meshes with the same volume. The simulated settling velocity and drag coefficient for these particles were found to be in a reasonably good agreement with predictions by the formula of Tran-Cong et al. [1] for particles with CSF < 0.7 at Re < 20. However, the simulation results deviated significantly from the predictions of the existing formula as Re increases beyond 20.

The particle shapes not only affect the terminal settling velocity, but also the dynamic settling process. When Re is lower than 100, the settling of the particles reaches a steady-state through different transient processes depending on the particle shape. Particles of shapes with Corey factors larger than 0.7 get accelerated to the terminal velocity. Particles of shapes with Corey factors less than 0.7 go through an acceleratingdecelerating with  $u_*$  increased to a maximum settling velocity exceeding the terminal velocity and subsequently decreased back to the terminal velocity.

When Re is higher than 100, the time series of the particle angular velocities and projected areas show a periodically oscillating behaviour for all the seven particles. The component of angular velocity normal to the settling direction was found to be unimportant as it does not affect the projected area. The simulation results showed that the oscillation amplitude of the particle angular velocity in the transverse directions increases with Re; however the frequency of the oscillation is not sensitive to Re.

The transition between 'steady' and 'periodically oscillating' behaviours of the simulated irregularly shaped particles depends on a critical Re, consistent with previous research findings [1, 16]. However, this study showed that the dynamic behaviours of irregularly shaped particles are also related to the inertia tensor I. In particular, the maximum eigenvalue of the inertia tensor  $(I_{max})$  appears to play a major role in determining the steady or periodically oscillating behaviour of particles.

The present study has shown important effects of irregular shapes on the settling dynamics of particles. Future studies are required to examine how dimensionless parameters such as Re and  $C_D$  can be combined with shape factors to develop a unified theoretical framework for quantifying the behaviours of particles of general shapes.



FIG. 12. Time series of projected areas and angular velocities of particle B, C, E and F simulated with different viscosity values and an increased height of the domain.



FIG. 13. Phase diagram: the settling behaviour of irregularly particles as a function of Reynolds number Re and maximum inertia  $I_{max}$ .

The Discrete Element and Lattice Boltzmann Method again can offer an effective simulation approach to produce data for elucidating the form of these dimensionless parameters and their relationships.

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